
	BUSINESS PARTNERS QUESTIONNAIRE - CLIENTS	Code: F-GEC-10
		Version: 01
		Date: 12/28/2021
		Approved by: COMPLIANCE OFFICER

ORGANIZATION:		DATE:
NAME	POST	SIGNATURE:

GENERAL INFORMATION OF THE ORGANIZATION	
Name Commercial	
Company Name	
RUC	
Legal address	
Years in the market	
Phone	
Mail electronic	

CRITERIA	RPTA.
Anti-Bribery Policy Does your company have any type of Anti-Bribery Policy defined and disseminated in writing? <i>If yes, please attach it to this questionnaire.</i>	
Controls established Has your company established anti-bribery controls to manage the relevant risk of bribery?	
Responsible for anti-bribery controls Does your company assign a person in charge of supervising, advising and ensuring that the anti-bribery management system or prevention model is compliant and implemented? <i>(Indicate Yes or No). If yes, please provide the names of the persons who fulfill the role of Compliance Officer or prevention officer.</i>	
Bribery Report / Whistleblowing Channel In the event of suspected bribery or unlawful behaviour, does your company have procedures for reporting suspected bribery or unlawful behaviour? <i>If yes, please attach policy or procedure.</i>	
Incident Investigation Does your company have procedures to deal with non-compliance issues? <i>If yes, please attach the policy or procedure for investigating incidents or complaints regarding bribery.</i>	
Anti-bribery dissemination and training Does your company conduct periodic dissemination and training on the prevention model or anti-bribery management system? <i>(Indicate Yes or No) If yes, please attach evidence of the training completed.</i>	
Bribery or corruption case Has any case of corruption or bribery been reported in your company in the	

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last 3 years? <i>If the answer is affirmative, what was the treatment for this?</i> Comments:	
EXTERNAL AUDIT/CERTIFICATION Does your company have a prevention system or similar, audited externally and/or certified? <i>Indicate YES or NO</i> <i>Compliance Management Systems , Application of Legislative Decree No. 1352, among others. If the above answer is affirmative, indicate the scope of the same, the auditing entity and attach a copy of the most recent certificate or audit report.</i>	
DECLARATION OF TRUTH Do you declare that all of the above information is accurate, current and complete?	
Do you agree to contact IPESA SAC immediately if any changes occur in the information you have provided?	

Signature: Legal representative and/or person responsible for the process

NOTE:

The Client received the **SI Code of Ethics and Conduct**

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The Client received the Anti-Bribery Policy **YES NO**

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